

# The Turing Machine

## Algorithms and Complexity Theory

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# Preamble

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- Is it possible to construct a **hierarchy** of problems, based on hardness ?

How do we formally express that a problem is solvable ?



## Part I: What is a problem ?

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**Problem instance:** Example:  $V = \{1, 2, 3, 4, 5\}$  and  
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In what follows, we will deal with **decision problems only** !

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## Claim

*An optimisation problem<sup>a</sup> is as hard to solve as it's **equivalent** decision problem<sup>b</sup>.*

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<sup>a</sup>such as MAX-CLIQUE

<sup>b</sup>in our example, k-CLIQUE

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  - Solve  $k - \text{CLIQUE}$ . If answer is **no**,  $k = k - 1$

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  - Repeat until we get a **yes** or  $k = 0$ . The answer to MAX-CLIQUE is  $k$ .

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If **k-CLIQUE** can be solved in  $O(n^p)$  time then **MAX-CLIQUE** can be solved in  $O(n^{p+1})$  time



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In Complexity Theory, we will further agree to **ignore the degrees of polynomials**.

# Problems vs algorithms

## Definition (Algorithm)

Given a decision problem  $P : I \rightarrow \{0, 1\}$ , an algorithm for  $P$  is a **finite specification** of a **computation process**, which takes an **encoding** of a problem instance from  $I$ , and produces 0 or 1.

**Computation process**

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- *How do we find a simple mathematical model that captures all these ways to compute? [1]*
- *How do we formally specify **algorithms** ?*

## Part II: *The Turing Machine*

A **deterministic Turing Machine** (DTM) is a tuple  
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We assume *data* is *read/written* on a **tape**.



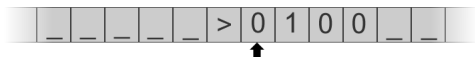
A **deterministic Turing Machine** (DTM) is a tuple  $M = (K, F, \Sigma, \delta, s)$  where:



$$\Sigma = \{0, 1, \_, >\}$$

The tape contains **cells**, and each cell contains **symbols**.  $\Sigma$  is the set of symbols.  $\Sigma$  always contains  $\_$  (the blank symbol) and  $>$  (the first symbol).

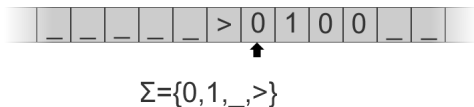
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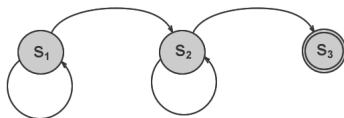
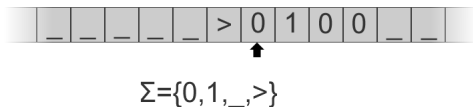
A **tape head** indicates the current position on the tape.

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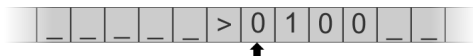
A set of **states**  $K$  and a set of **accepting states**  $F \subseteq K$ . Here  $K = \{s_1, s_2, s_3\}$  and  $F = \{s_3\}$ .

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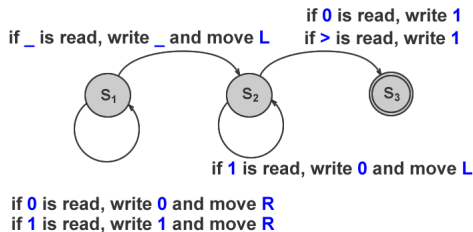


A **transition function**  $\delta : K \times \Sigma \rightarrow K \times \Sigma \times \{L, H, R\}$

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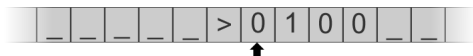
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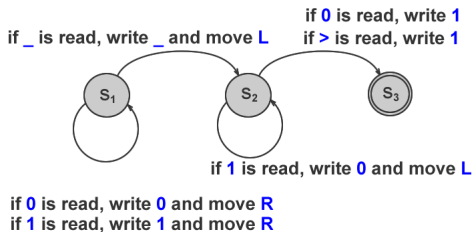
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Write down  $\delta$  for our example

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An **initial state**  $s$ . In our case  $s = s_1$ .

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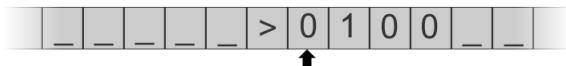
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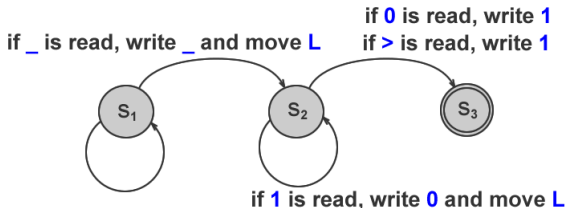
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- Once  $M$  halts, the **output** is the contents of the **tape**
- We also write  $M(w)$  to refer to the output of the Turing Machine  $M$ , on string  $w$ .

# Example

What does our machine do ?



$$\Sigma = \{0, 1, \_, >\}$$



if **0** is read, write **0** and move **R**  
if **1** is read, write **1** and move **R**

Another example: `http://www.youtube.com/watch?v=WJ-ODmFjmrU&feature=relmfu`

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## Definition (Running time [1])

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## Definition

If  $M$  is a Turing Machine with running time  $T(n)$ , which solves the problem  $P$ , we say that  $P$  is **solvable** in time  $T(n)$ .

Questions (you should ask):

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<sup>1</sup>Recall our assumption regarding *distinction* between running times!

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- *Turing Machines can perform **any kind of computation** ?*
- *Is there no other formalism capable of doing computations **faster**<sup>1</sup> ?*

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## Proposition

Every problem  $P : I \rightarrow \{0, 1\}$  that is **solvable** in time  $T(n)$  by a Turing Machine with alphabet  $\Sigma$  (which is used for encoding problem instances in  $I$ ), is also solvable in time  $O(\log(|\Sigma|)) \cdot T(n)$  using the alphabet  $\{0, 1, >, \_ \}$ .

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## Definition (Efficient Turing Machine [1])

For every Turing Machine  $M$  having running time  $T(n)$ , and every word  $w \in \{0, 1\}^*$  there exists a **Universal Turing Machine**  $U$ , such that  $U(enc(M), w) = M(w)$ .

Moreover, the **running time of  $U$**  is  $c \cdot T(n)$ , where  $c$  only depends on  $M$ , and not on the size of  $w$ .

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- We encode  $s$  as  $|K| + |F| + 1$  and  $L, H, R$  as  $|K| + |F| + i$ , with  $i \in \{2, 3, 4\}$

## Turing Machines as Strings

Let  $M = (K, F, \Sigma, \delta, s)$  be a Turing Machine.

- We encode any each state in  $K$  as an integer in  $\{1, 2, \dots, |K|\}$
- We encode each final state  $F$  as an integer in  $\{|K| + 1, \dots, |K| + |F|\}$
- We encode  $s$  as  $|K| + |F| + 1$  and  $L, H, R$  as  $|K| + |F| + i$ , with  $i \in \{2, 3, 4\}$

Each integer  $x$  will be represented as a string  $enc(x)$  using  $\lceil \log(|K| + |F| + 4) \rceil$  **bits**

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$enc(\delta)$  is a **sequence** of transitions, encoded as above



# Turing Machines and computability

## Claim (Church)

For every *program*  $P : \Sigma^* \rightarrow \{0, 1\}$ , written in any *programming language*, and with running time  $T(n)$ , there exists an **equivalent** Turing Machine with running time  $O(T(n)^p)$ , where  $p$  is a **fixed** natural number.

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Example - blackboard

Part III: *Is finding the correct answer **harder** than checking if a **given answer** is correct ?*

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We need a tool to formally express **exhaustive search**

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NTM's as **pseudocode**: (choice, success, fail) -  
blackboard

# NTMs vs DTMs

## Proposition

For every NTM  $M'$ , there exists an **equivalent** DTM  $M$ . If  $M'$  runs in *polynomial* time,  $M$  runs in *exponential* time.

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**P = NP (!?)**

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